

United States Patent and Trademark Office

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Viginia 22313-1450 www.uspiu.gov

APPLICATION NUMBER FILING OR 371 (c) DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/732,966 12/10/2003 Hung Chih Chen

8402-552001

CONFIRMATION NO. 9309

Date Mailed: 09/17/2004

PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O. BOX 450A

FORMALITIES LETTER

OC000000013816894

10/13/2004 HDEMESS1 00000043 501074

Santa Clara, CA 95052

01 FC:1051 130.00 DA

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

10732966

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Hung Chih Chen

Assignee:

APPLIED MATERIALS, INC.

Title:

RETAINING RING WITH SLURRY TRANSPORT GROOVES

Serial No.:

10/732,966

Filed: December 10, 2003

Group Art Unit: 1763

Examiner: Unknown

Attorney Docket No .: 8402 USA/AGS/LAP

Santa Clara, California

\$**.00

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION – FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed by the United States Patent and Trademark Office on September 17, 2004 the following documents are enclosed to complete the filing of the above-identified patent application:

- 1. A combined declaration and power of attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (4 pages);
- 2. A copy of the Notice to File Missing Parts of Application Filing Date Granted.

1. Filing Fee - Original Patent Application (37 CFR 1.16(a))

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account 50-1074;

2.	Fees		
		Each independent claim in excess of 3 (37 CFR 1.16(f)) Each claim in excess of 20 (37 CFR 1.16(c)) Each multiple dependent claim (37 CR 1.16(d)) Late Payment of filing fee - (37 CFR 1.16(e))	\$**.00 \$**.00 \$**.00 \$**.00
		Total Completion Fees	** *.00

3.	Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:					
			Fee for other than Small Entity			
		One Month Two Months Three Months Four Months Five Months	\$110.00 \$430.00 \$980.00 \$1,530.00 \$2,080.00			
Ifa	an add	itional extension of	of time is required, please consider this a po	etition therefor.		
4.	4. Late filing of original declaration or oath (37 CFR 1.16(e)) \$130.00					
5.	5. Total fees due from items 1-4: \$130.00					

6. The Commissioner is hereby authorized to charge total fees due and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1074.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of **December 10, 2003**. Please telephone the undersigned at (408) 563-2726, if there are any questions regarding this matter.

Respectfully submitted,

Robert W. Mulcahy Registration No. 25,436

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Sheri Griffin